

Applicant or Patentee: Givargizov, et al.

Application or Patent No.: Unknown

Filed or Issued: Herewith

For: CATHODOLUMINESCENT SCREEN WITH A COLUMNAR STRUCTURE, AND THE METHOD FOR ITS PREPARATION

JUN 26 2000

Attorney's Docket No. GIVAR4.001APC

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**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL-ENTITY STATUS**

1. I, the undersigned, do hereby declare that:

- a. ☒ I am an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention described in the patent or application identified above; OR
- b. ☐ While I am not an inventor, I declare that rights under contract or law have been conveyed to and remain with me with regard to the invention described in the patent or application identified above. I would qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying fees to the United States Patent and Trademark Office if I had made the invention; OR
- c. ☐ I am the owner of the small business concern identified below OR  
☐ I am an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS: \_\_\_\_\_

ADDRESS OF SMALL BUSINESS: \_\_\_\_\_

If either of the boxes in item (c) is checked, I further declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.1301 through 121.1305, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both. I further declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in the patent or application identified above; OR

- d. ☐ I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: \_\_\_\_\_

ADDRESS OF NONPROFIT ORGANIZATION: \_\_\_\_\_

TYPE OF NONPROFIT ORGANIZATION:

- ☐ university or other institution of higher education; OR
- ☐ tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)); OR
- ☐ nonprofit scientific or educational organization qualified under a nonprofit organization statute under a statute of a state of the United States of America  
(name of state: \_\_\_\_\_)  
(citation of statute: \_\_\_\_\_); OR
- ☐ would qualify as tax exempt under Internal Revenue Service Code (26 USC 501(a) and 501(c)(3)) if located in the United States of America; OR
- ☐ would qualify as nonprofit scientific or educational organization qualified under a nonprofit organization statute under a statute of a state of the United States of America if located in the United States of America  
(name of state: \_\_\_\_\_)  
(citation of statute: \_\_\_\_\_)

If Box (d) is checked, I further declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.9(e) for purposes of paying reduced fees to the United States

Applicant or Patentee: Givargizov, e.

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Patent and Trademark Office regarding the invention described in the patent or application identified above.

2. The individual, concern or organization identified above has not assigned, granted, conveyed or licensed, and is under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).
3. If the rights held by the above-identified individual, concern or organization are not exclusive, each individual, concern or organization having rights in the invention are identified below. Each such individual, concern or organization must file separate verified statements averring to their status as small entities.

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27).

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

FULL NAME: \_\_\_\_\_

ADDRESS: \_\_\_\_\_

☐ INDIVIDUAL

☐ SMALL BUSINESS CONCERN

☐ NONPROFIT ORGANIZATION

4. I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small-entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing: Evgeny Invievich Givargizov

Inventor's signature *Givargizov*

Dated: April 07, 2000

Residence (city and country): Obrucheve, 20-12, Moscow, 117421, Russia

Name of person signing: Ljudmila Alexandrovna Zadorozhnaya

Inventor's signature *Zadorozhnaya*

Dated: April 07, 2000

Residence (city and country): ul. M. Uliyanovoi, 12-121, Moscow, 117331, Russia

Name of person signing: Alla Nikolaevna Stepanova

Inventor's signature *Stepanova*

Dated: April 07, 2000

Residence (city and country): 3-ya Frunzenskaya ul., 9-149, Moscow, 119270, Russia

Name of person signing: Naum Petrovich Soshchin

Inventor's signature *Soshchin*

Dated: April 07, 2000

Residence (city and country): pr. Mira, 10-54, Moskovskaya obl., Fryazino, 141120, Russia

Name of person signing: Nikolai Nikolaevich Chubun

Inventor's signature *Chubun*

Dated: 07.04.2000

Residence (city and country): ul. Polevaya, 19-56, Moskovskaya obl., Fryazino, 141120, Russia

Applicant or Patentee: Givargizov, et al.

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Name of person signing: Mikhail Evgenievich Givargizov

Inventor's signature

Dated:

07.04.2000

Residence (city and country): ul. Vaynskiy, 1-115, Moscow, 117133, Russia

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## DECLARATION AND POWER OF ATTORNEY-USA PATENT APPLICATION

I, a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled CATHODOLUMINESCENT SCREEN WITH A COLUMNAR STRUCTURE, AND THE METHOD FOR ITS PREPARATION the specification of which:

- (a) ☐ is attached hereto; or
- (b) ☒ was filed on 4/27/00 as Application No 09/530,512 or
- (c) ☒ was described and claimed in PCT International Application No. PCT/RU98/00347 filed on October 26, 1998 and as amended under PCT Article 19 on \_\_\_\_\_ (if any) and/or under PCT Article 34 on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) listed below and have also identified below any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed for the same subject matter having a filing date before that of the application(s) of which priority is claimed:

## PRIOR FOREIGN APPLICATION(S)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 U.S.C. § 119	
Russia	97117737	27/10/97	<input checked="" type="checkbox"/> YES	NO <input type="checkbox"/>
Russia	97122024	31/12/97	<input checked="" type="checkbox"/> YES	NO <input type="checkbox"/>
			<input type="checkbox"/> YES	NO <input type="checkbox"/>
			<input type="checkbox"/> YES	NO <input type="checkbox"/>
			<input type="checkbox"/> YES	NO <input type="checkbox"/>

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Prior U.S.A. Application(s)

Application No.: \_\_\_\_\_ Filing Date: \_\_\_\_\_ Status: \_\_\_\_\_

POWER OF ATTORNEY: I hereby appoint the registrants of Knobbe, Martens, Olson & Bear, LLP, 620 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone (949) 760-0404, Customer No. 20,995.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

1-00 Full name of first inventor: Evgeny Invievich GivargizovInventor's signature [Signature] Dated: April 07, 2000Residence (city and country): Obrucheve, 20-12, Moscow, 117421, RussiaCitizenship: RussianPost Office Address: Same as above.2- Full name of second inventor: Ljudmila Alexandrovna ZadorozhnayaInventor's signature [Signature] Dated: April 07, 2000Residence (city and country): ul. M. Uliyanovoi, 12-121, Moscow, 117331, RussiaCitizenship: RussianPost Office Address: Same as above.3-6 Full name of third inventor: Alla Nikolaevna StepanovaInventor's signature [Signature] Dated: April 07, 2000Residence (city and country): 3-ya Frunzenskaya ul., 9-149, Moscow, 119270, RussiaCitizenship: RussianPost Office Address: Same as above.

Full name of fourth inventor: Naum Petrovich Soshchin

4 9<sup>5</sup> Inventor's signature [Signature] Dated: April 07, 2000

Residence (city and country): pr. Mira, 10-54, Moskovskaya obl., Fryazino, 141120, Russia

Citizenship: Russian

Post Office Address: Same as above.

5<sup>5</sup> Full name of fifth inventor: Nikolai Nikolaevich Chubun

Inventor's signature [Signature] Dated: 07.04.2000

Residence (city and country): ul. Polevaya, 19-56, Moskovskaya obl., Fryazino, 141120, Russia

Citizenship: Russian

Post Office Address: Same as above.

6<sup>5</sup> Full name of sixth inventor: Mikhail Evgenievich Givargizov

6<sup>5</sup> Inventor's signature [Signature] Dated: 07.04.2000

Residence (city and country): ul. Vargi, 1-115, Moscow, -117133, Russia

Citizenship: Russian

Post Office Address: Same as above.

Send Correspondence To:

KNOBBE, MARTENS, OLSON & BEAR, LLP

Customer No. 20,995

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